EL977166363US

Docket No. AUS920030190US1

INFINIBAND MULTICAST OPERATION IN AN LPAR ENVIRONMENT

CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is related to commonly-assigned, co-pending U.S. Patent Application "APPARATUS AND METHOD FOR IMPLEMENTING MULTICAST ON A SYSTEM AREA NETWORK CHANNEL ADAPTER," Application Serial No. 09/925,578, filed Aug. 9, 2001, which is incorporated herein by reference.

BACKGROUND OF THE INVENTION

1.   Technical Field:

The present invention is directed to an improved data processing system. More specifically, the present invention is directed to an apparatus and method for implementing multicast on a system area network channel adapter associated with a logically partitioned (LPAR) data processing system, with no visibility to either the Fabric Manager (Subnet Manager) or other Fabric Participants, that LPAR techniques are being employed.

2.   Description of Related Art:

InfiniBand (IB), which is a form of System Area Network (SAN), defines a multicast facility that allows a Channel Adapter (CA) to send a packet to a single address and have it delivered to multiple ports. Each multicast group is assigned a unique address, and end-nodes that wish to participate in a multicast group do so via a

Docket No. AUS920030190US1

'Join' process initiated by the candidate participant with the Subnet Manager. The InfiniBand architecture is described in the InfiniBand standard, which is available at http://www.infinibandta.org and also hereby incorporated by reference.

With the InfiniBand architecture, the CA sending the multicast packet may be a Host Channel Adapter (HCA) or a Target Channel Adapter (TCA). A multicast packet is sent to all ports of a collection of ports called a multicast group. These ports may be on the same or different nodes in the SAN. Each multicast group is identified by a unique Local Identifier (LID) and Global Identifier (GID). The LID is an address assigned to a port which is unique within the subnet. The LID is used for directing packets within the subnet. The GID is a 128-bit identifier used to uniquely identify a port on a channel adapter, a port on a router, or a multicast group, across all infiniband subnets. The LID and GID are in the Local Route Header (LRH) and Global Route Header (GRH), respectively, of the IB packet. The LRH is present in all IB packets and is an address used for routing IB packets through switches within a subnet. The GRH is present in IB packets which are either multicast packets, or which are targeted to destinations outside the originator's local subnet and is used as an address for routing the packets when the packets traverse multiple subnets.

An IB management action via a Subnet Management Packet (SMP) is used when a node joins a multicast group, and at that time the LID of the port on the node is linked to the multicast group. A subnet manager then

Docket No. AUS920030190US1

stores this information in the switches of the SAN using
SMPs. The subnet manager via SMPs tells the switches the
routing information for the various multicast groups, and
the switches store that information, so that the switches
can route the multicast packets to the correct nodes.
When a node is going to send a packet to the multicast
group, it uses the multicast LID and GID of the group to
which it wants the packet to be delivered. The switches
in the subnet detect the multicast LID in the packet's
Destination LID (DLID) field and replicates the packet,
sending it to the appropriate ports, as previously set up
by the subnet manager.

It is the Subnet Manager's job to look at the
topology and adjust the multicast forwarding tables of
each applicable switch in the fabric such that a member
of a multicast group will receive a multicast packet sent
to that Multicast Group address.

Within a CA, one or more Queue Pairs (QPs) may be
registered to receive a given multicast address. IB
allows for the number of QPs within a CA that can be
registered for the same address to be only limited by the
particular implementation. The registration process is
done via the IB verb interface. The verb interface is an
abstract description of the functionality of a Host
Channel Adapter. An operating system exposes some or all
of the verb functionality through its programming
interface.

When the CA recognizes a multicast packet, the CA
must somehow distribute the packet to all the registered
QPs within that CA. This must be done in an efficient

Docket No.   AUS920030190US1

manner. How this is done is not specified by the
InfiniBand Architecture (IBA).

Commmonly-owned co-pending Published U.S. Patent
Application No. 2003/003426 of Beukema et al.,
Application Serial No. 09/925,578, filed August 9, 2001,
which is incorporated herein by reference, describes a
system for implementing multicast on an Infiniband CA.
However, the solution described in the Beukema
application does not address the additional complexity
associated with a logically-partitioned (LPAR) data
processing system.

When implementing LPAR, it is advantageous that each
Operating System believes that it has control of a single
CA. This is further substantiated by the requirement to
maintain transparency to the Subnet Manager and other
end-nodes, i.e., neither of these must operate any
differently when talking to an LPAR end-node vs. a non-
LPAR end-node. In order to achieve this, each LPAR sees a
logical CA. The ports on this logical CA are assigned
LIDs, just like real ports. In addition, packets coming
into the 'real' port of a CA effectively see a logical
switch. This logical switch has a set of logical
Multicast Forwarding Tables that the Subnet manager will
set up.

In an LPAR computing environment, a single data
processing system is "virtualized" to multiple software
partitions, each representing a different instance of an
operating system. An LPAR data processing system thus
functions as if it were several separate machines, though
the "machines" (generally unbeknownst to each other)

Docket No.   AUS920030190US1

share a common hardware platform. LPAR systems are well

suited for situations in which multiple computing

platforms are needed, but the additional expense and

inconvenience of installing and maintaining multiple

physical hardware platforms is undesirable. In

particular, it would be beneficial if a CA for a SAN such

as Infiniband could be shared among multiple partitions

of an LPAR system.

Docket No. AUS920030190US1

SUMMARY OF THE INVENTION

The present invention provides a method, computer program product, and data processing system for providing system-area network (SAN) multicasting functionality in a logically partitioned (LPAR) data processing system in which a channel adapter is shared among a plurality of logical partitions. A preferred embodiment of the present invention allows LPAR "hypervisor" firmware and HCA hardware to share the responsibility for multicast protocol handling and distribution of packets among logical partitions.

Docket No.   AUS920030190US1

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

FIG. 1 shows an example of a multicast network in accordance with the present invention;

FIG. 2 shows the fields of the IB packet as related to multicast packets in accordance with the present invention;

FIG. 3 shows the delivery of a multicast packet within an end node when the end node is different than the source node in a non-LPAR computing environment;

FIG. 4 shows the delivery of a multicast packet within an end node when the end node is the same node as the source node in a non-LPAR computing environment;

FIG. 5 shows a greater level of detail relative to the delivery of a multicast packet from the receiving port of the CA to the delivery to the receive queue of the CA in a non-LPAR environment;

FIG. 6 is a block diagram of a data processing system in which the present invention may be implemented;

FIG. 7 is a block diagram of an exemplary logical partitioned platform in which the present invention may be implemented;

Docket No.   AUS920030190US1

FIG. **8** is a diagram illustrating a deployment of a preferred embodiment of the present invention;

FIG. **9** is a diagram of an entry in a host channel adapter multicast table in accordance with a preferred embodiment of the present invention;

FIG. **10** is a flowchart representation of a process of receiving a multicast packet from a storage area network in accordance with a preferred embodiment of the present invention; and

FIG. **11** is a flowchart representation of a process of transmitting a multicast packet over a storage area network in accordance with a preferred embodiment of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The organization of this Detailed Description is as follows: **FIGs. 1-5** describe a process of performing multicast operations in a system area network (SAN) in a non-LPAR computing environment in the manner of the aforementioned Beukema Patent Application, which is provided for illustration of general principles of its operation that may aid the reader in understanding the operation of a preferred embodiment of the present invention and the technical problems that a preferred embodiment of the present invention overcomes. **FIGs. 6-7** illustrate an exemplary LPAR data processing system in which a preferred embodiment of the present invention may be implemented. Finally, **FIGs. 8-11** describe a method and apparatus for perfoming multicast operations in an LPAR data processing system in accordance with a preferred embodiment of the present invention.

Referring to **FIG. 1,** this figure illustrates an example of a system area network (SAN) and the manner by which a multicast packet is routed through the SAN, which hereafter will be referred to as the network. The network is comprised of a plurality of end nodes **101, 113-115,** and **119-120.** These end nodes are coupled to one another via communication links (not shown), one or more switches **107-108,** and one or more routers **109.** A switch is a device that routes packets from one link to another of the same Subnet, using the Destination LID (DLID) in the Local Route Header (LRH) of the packet. A router is a device that routes packets between network subnets. An

Docket No. AUS920030190US1

end node is a node in the network that is the final destination for a packet.

In the network shown in **FIG. 1**, an application in end node **101**, which has a QP **102**, may queue a "send" work request for a multicast packet into QP **102**. When the channel adapter **121**, which may be either a host channel adapter (HCA) or target channel adapter (TCA), processes this work request, the channel adapter **121** sends the multicast packet **103** out the port of the channel adapter **121** to switch **107**.

Switch **107** decodes the DLID in the inbound packet's LRH to determine target output ports. Switch **107** replicates packet **103** and forwards the replicas to the appropriate output ports based on the DLID and its internal routing tables as packets **104-106**.

Packets **105-106** reach end nodes **119-120**, respectively, for processing at those end nodes. Packet **104** reaches switch **108** and gets processed in a similar manner to the processing in switch **107**, with packets **110-112** and **116** being sent out its ports. Packets **110-112** reach end nodes **113-115**, respectively, for processing at those end nodes. Packet **116** reaches router **109** where it decodes the inbound packet's Global Route Header (GRH) Global Identifier (GID) multicast address to determine target output ports. Packet **116** is then replicated by router **109** and forwarded to the output ports as packets **117-118**.

Referring now to **FIG. 2**, this figure illustrates an exemplary multicast packet definition. Multicast packet **201** contains several fields including fields **202-204**. The

Docket No.   AUS920030190US1

Local Route Header (LRH) field **202** and Global Route
Header (GRH) field **203** exists in all multicast packets.
Base Transport Header (BTH) field **204** exists in all
packets except raw data packets. The BTH contains
information used for processing the packet at the end
node, such as the number of the QP that is to receive the
packet, which for multicast is required to be QP #
FFFFFF.

Of particular interest to the present invention are
DLID subfield **205** of LRH field **202,** Destination GID
(DGID) subfield **206** of the GRH field **203,** and Destination
Queue Pair (QP) number subfield **207** of BTH field **204.** For
multicast packets, the DLID and DGID fields contain the
LID and GID for the multicast group to which the
multicast packet is targeted, and the Destination QP
field contains the number 0xFFFFFF which is a unique QP
number identifying this as a multicast operation (as
opposed to a specific QP destination within the end
node). For multicast packets, the range of LID addresses
that are reserved by IB for multicast packets is 0xC000
to 0xFFFE.

It should be noted that, as previously mentioned,
the LID is used for routing the packet to the end node.
For non-multicast packets, the QP is used for routing
within the end node. However, for multicast packets, the
method for routing within the end node is different (that
is, as defined by the present invention). Therefore, the
QP unique number of 0xFFFFFF indicates to the end node
that it should not route the packet as "normal" but to

Docket No. AUS920030190US1

use the multicast method of the present invention
instead.

Referring now to **FIG. 3**, this figure illustrates an
example of a packet delivery mechanism within a (non-
LPAR) end node, wherein the end node is different from
the source node for the packet. As shown in **FIG. 3**,
packet **301** comes into destination end node **300**'s channel
adapter (CA) **302** at port **303**. As previously mentioned,
the end node channel adapter may be a host channel
adapter (HCA) or a target channel adapter (TCA).

CA **302** examines the header information of the
multicast packet and makes the determination that this is
a multicast packet based on the header information. CA
302 then determines which QPs are part of this multicast
group. The CA then replicates the packet as packets **304**
and **305** and delivers one internally replicated copy of
the packet to each locally managed QP **306-307**
participating in the indicated multicast group. As will
be described in greater detail hereafter, the present
invention provides a mechanism to determine which QPs
associated with multiple Logical CAs/Logical Ports should
receive the multicast packet **301**, i.e. the target QPs,
and a mechanism for delivery of the packet to the target
QPs.

When the source end node, i.e. the end node that
originally generated the multicast packet, contains QPs
that are targets of a send operation, the end node must
internally replicate the packet and deliver it to each
participating QP. Replication occurs within a channel

interface and may be performed either in hardware or software.

Referring now to **FIG. 4,** this figure illustrates an example of a packet delivery mechanism within an end node, wherein the end node is the same as the source node for the packet. An application in end node **401,** which has a QP **402,** queues a "send" work request for the multicast packet into QP **402.** When CA (HCA or TCA) **410** processes this work request, CA **410** sends multicast packet **404** out port **409** of CA **410.**

In addition, CA **410** determines that this same end node contains QPs that are targets of the operation (that is, which are part of the same multicast group). CA **410** makes the determination as to which QPs are part of this multicast group. CA **410** then replicates the packet as packets **405-406** and delivers one internally replicated copy of the packet to each locally managed QP **407-408** participating in the indicated multicast group. The mechanism and method for making the determination as to which QPs receive the multicast packet and the mechanism for making the delivery of the packet to these QPs in accordance with the present invention, is described in greater detail hereafter.

Referring to now to **FIG. 5,** this Figure illustrates an exemplary mechanism for distribution of multicast packets to QP destinations in a non-LPAR computing environment. Multicast packet **501** is received by CA **502** at port **503.** In one embodiment, port **503** logic moves the packet, as in **504,** to a temporary packet buffer **505,** as are all other incoming packets. In another embodiment,

Docket No.   AUS920030190US1

port **503** logic decodes the packet while it is incoming, determines it is a multicast packet, and transfers it directly to the temporary multicast packet buffer **507**, as shown in **508**.

If the packet is moved to general temporary packet buffers **505**, CA **502** logic decodes the packet, determines the packet to be a multicast packet, and moves it to temporary multicast packet buffers **507**, as shown in **506**. The determination of the packet as a multicast packet is made by comparing the DLID to an acceptable multicast range of 0xC000 to 0xFFFE and by comparing the number in the destination QP field in the BTH of the received packet to the multicast QP number, 0xFFFFFF.

In either of the two above embodiments, multicast packet **501** is placed in temporary multicast packet buffer **507**. In the first embodiment, the decoding of multicast packet **501** is performed by port **503** logic. In the second embodiment, the decoding of multicast packet **501** is performed by CA **502** logic. Once the multicast packet is in a temporary multicast packet buffer **507**, it is ready for multicast processing.

It is important to note that if there is an error in the process of bringing multicast packet **501** into CA **502**, for example a buffer full condition on temporary buffers **505** or **507**, it is defined as acceptable by the IB architecture (IBA) for CA **502** to drop the delivery of the packet due to the unreliable delivery method that is being used for multicast packet delivery. This does not preclude CA **502** from performing some recovery processing to try to avoid dropping the packet.

Once multicast packet **501** is in temporary multicast packet buffer **507,** a determination is made as to which QPs are attached to the given multicast group's DLID. Multicast packet **501** is then copied to the appropriate QPs. Since multicast packets have a lower occurrence than regular packets, i.e. non-multicast packets, and because they are defined to be unreliable delivery, which means that they can be dropped without informing the sender, it is possible to perform the following operation in either CA **502'**s hardware or in the software that is controlling CA **502.**

The DLID of the multicast packet in temporary multicast packet buffer **507** is passed, in **509,** to a table access control mechanism **517.** Table access control mechanism **517** accesses a DLID to QP lookup table **510,** determines the QPs that are to receive this packet, if there are any, and passes QP identifiers **511** (which in the exemplary embodiments are numbers but are not limited to such) to copy control mechanism **512.** The method used to access the DLID to QP lookup table **510** is different based on the particular embodiment of DLID to QP lookup table **510.** Two embodiments of DLID to QP lookup table **510** will be described hereafter, but other embodiments of this table are possible.

Once QP identifiers **511** are passed to copy control **512,** copy control **512** copies the packets to the appropriate QPs, as shown in **513-514.** In the depicted example, the packets are copied to QPs **515-516.** When the copy is complete and the queue entries in QPs **515-516** are marked as valid, copy control **512** removes the multicast

packet from temporary multicast packet buffer **507** and
marks that buffer as available.

It is important to note that if there is an error in
the process of copying the multicast packet from
temporary multicast packet buffer **507** to QPs **515-516,** for
example a QP **515-516** full condition, it is defined as
acceptable by the IBA for CA **502** to drop delivery of the
packet to one or more QPs due to the unreliable delivery
method that is being used for multicast packet delivery.
This does not preclude CA **502** from performing some
recovery processing to try to avoid dropping the packet.

A preferred embodiment of the present invention
provides a method, computer program product, and data
processing system for providing system-area network (SAN)
multicasting functionality in a logically partitioned
(LPAR) data processing system in which a channel adapter
is shared among a plurality of logical partitions.

With reference now to **FIG. 6,** a block diagram of a
data processing system in which the present invention may
be implemented is depicted. Data processing system **600**
may be a symmetric multiprocessor (SMP) system including
a plurality of processors **601, 602, 603,** and **604**
connected to system bus **606.** For example, data
processing system **600** may be an IBM eServer, a product of
International Business Machines Corporation in Armonk,
New York, implemented as a server within a network.
Alternatively, a single processor system may be employed.
Also connected to system bus **606** is memory
controller/cache **608,** which provides an interface to a
plurality of local memories **660-663.** I/O bus bridge **610**

is connected to system bus **606** and provides an interface
to I/O bus **612**.   Memory controller/cache **608** and I/O bus
bridge **610** may be integrated as depicted.

Data processing system **600** is a logical partitioned
(LPAR) data processing system.   Thus, data processing
system **600** may have multiple heterogeneous operating
systems (or multiple instances of a single operating
system) running simultaneously.   Each of these multiple
operating systems may have any number of software
programs executing within it.   Data processing system **600**
is logically partitioned such that different PCI I/O
adapters **620-621, 628-629,** and **636,** graphics adapter **648,**
and hard disk adapter **649** may be assigned to different
logical partitions.   In this case, graphics adapter **648**
provides a connection for a display device (not shown),
while hard disk adapter **649** provides a connection to
control hard disk **650**.

Thus, for example, suppose data processing system
600 is divided into three logical partitions, P1, P2, and
P3.   Each of PCI I/O adapters **620-621, 628-629, 636,**
graphics adapter **648,** hard disk adapter **649,** each of host
processors **601-604,** and memory from local memories **660-
663** is assigned to each of the three partitions.   In
these examples, memories **660-663** may take the form of
dual in-line memory modules (DIMMs).   DIMMs are not
normally assigned on a per DIMM basis to partitions.
Instead, a partition will get a portion of the overall
memory seen by the platform.   For example, processor **601,**
some portion of memory from local memories **660-663,** and
I/O adapters **620, 628,** and **629** may be assigned to logical

Docket No.   AUS920030190US1

partition P1; processors **602-603,** some portion of memory from local memories **660-663,** and PCI I/O adapters **621** and **636** may be assigned to partition P2; and processor **604,** some portion of memory from local memories **660-663,** graphics adapter **648** and hard disk adapter **649** may be assigned to logical partition P3.

Each operating system executing within data processing system **600** is assigned to a different logical partition. Thus, each operating system executing within data processing system **600** may access only those I/O units that are within its logical partition. Thus, for example, one instance of the Advanced Interactive Executive (AIX) operating system may be executing within partition P1, a second instance (image) of the AIX operating system may be executing within partition P2, and a Windows XP operating system may be operating within logical partition P3. Windows XP is a product and trademark of Microsoft Corporation of Redmond, Washington.

Peripheral component interconnect (PCI) host bridge **614** connected to I/O bus **612** provides an interface to PCI local bus **615.** A number of PCI input/output adapters **620-621** may be connected to PCI bus **615** through PCI-to-PCI bridge **616,** PCI bus **618,** PCI bus **619,** I/O slot **670,** and I/O slot **671.** PCI-to-PCI bridge **616** provides an interface to PCI bus **618** and PCI bus **619.** PCI I/O adapters **620** and **621** are placed into I/O slots **670** and **671,** respectively. Typical PCI bus implementations will support between four and eight I/O adapters (i.e. expansion slots for add-in connectors). Each PCI I/O

adapter **620-621** provides an interface between data processing system **600** and input/output devices such as, for example, other network computers, which are clients to data processing system **600**.

An additional PCI host bridge **622** provides an interface for an additional PCI bus **623**. PCI bus **623** is connected to a plurality of PCI I/O adapters **628-629**. PCI I/O adapters **628-629** may be connected to PCI bus **623** through PCI-to-PCI bridge **624**, PCI bus **626**, PCI bus **627**, I/O slot **672**, and I/O slot **673**. PCI-to-PCI bridge **624** provides an interface to PCI bus **626** and PCI bus **627**. PCI I/O adapters **628** and **629** are placed into I/O slots **672** and **673**, respectively. In this manner, additional I/O devices, such as, for example, modems or network adapters may be supported through each of PCI I/O adapters **628-629**. In this manner, data processing system **600** allows connections to multiple network computers.

A memory mapped graphics adapter **648** inserted into I/O slot **674** may be connected to I/O bus **612** through PCI bus **644**, PCI-to-PCI bridge **642**, PCI bus **641** and PCI host bridge **640**. Hard disk adapter **649** may be placed into I/O slot **675**, which is connected to PCI bus **645**. In turn, this bus is connected to PCI-to-PCI bridge **642**, which is connected to PCI host bridge **640** by PCI bus **641**.

A PCI host bridge **630** provides an interface for a PCI bus **631** to connect to I/O bus **612**. PCI I/O adapter **636** is connected to I/O slot **676**, which is connected to PCI-to-PCI bridge **632** by PCI bus **633**. PCI-to-PCI bridge **632** is connected to PCI bus **631**. This PCI bus also connects PCI host bridge **630** to the service processor

mailbox interface and ISA bus access pass-through logic
694 and PCI-to-PCI bridge **632.** Service processor mailbox
interface and ISA bus access pass-through logic **694**
forwards PCI accesses destined to the PCI/ISA bridge **693.**
NVRAM storage **692** is connected to the ISA bus **696.**
Service processor **635** is coupled to service processor
mailbox interface and ISA bus access pass-through logic
694 through its local PCI bus **695.** Service processor **635**
is also connected to processors **601-604** via a plurality
of JTAG/I^{2}C busses **634.** JTAG/I^{2}C busses **634** are a
combination of JTAG/scan busses (see IEEE 1149.1) and
Phillips I^{2}C busses. However, alternatively, JTAG/I^{2}C
busses **634** may be replaced by only Phillips I^{2}C busses or
only JTAG/scan busses. All SP-ATTN signals of the host
processors **601, 602, 603,** and **604** are connected together
to an interrupt input signal of the service processor.
The service processor **635** has its own local memory **691,**
and has access to the hardware OP-panel **690.**

   When data processing system **600** is initially powered
up, service processor **635** uses the JTAG/I^{2}C busses **634** to
interrogate the system (host) processors **601-604,** memory
controller/cache **608,** and I/O bridge **610.** At completion
of this step, service processor **635** has an inventory and
topology understanding of data processing system **600.**
Service processor **635** also executes Built-In-Self-Tests
(BISTs), Basic Assurance Tests (BATs), and memory tests
on all elements found by interrogating the host
processors **601-604,** memory controller/cache **608,** and I/O
bridge **610.** Any error information for failures detected

Docket No. AUS920030190US1

during the BISTs, BATs, and memory tests are gathered and reported by service processor **635**.

If a meaningful/valid configuration of system resources is still possible after taking out the elements found to be faulty during the BISTs, BATs, and memory tests, then data processing system **600** is allowed to proceed to load executable code into local (host) memories **660-663**. Service processor **635** then releases host processors **601-604** for execution of the code loaded into local memory **660-663**. While host processors **601-604** are executing code from respective operating systems within data processing system **600**, service processor **635** enters a mode of monitoring and reporting errors. The type of items monitored by service processor **635** include, for example, the cooling fan speed and operation, thermal sensors, power supply regulators, and recoverable and non-recoverable errors reported by processors **601-604**, local memories **660-663**, and I/O bridge **610**.

Service processor **635** is responsible for saving and reporting error information related to all the monitored items in data processing system **600**. Service processor **635** also takes action based on the type of errors and defined thresholds. For example, service processor **635** may take note of excessive recoverable errors on a processor's cache memory and decide that this is predictive of a hard failure. Based on this determination, service processor **635** may mark that resource for deconfiguration during the current running session and future Initial Program Loads (IPLs). IPLs

Docket No. AUS920030190US1

are also sometimes referred to as a "boot" or "bootstrap".

Data processing system **600** may be implemented using various commercially available computer systems. For example, data processing system **600** may be implemented using IBM eServer iSeries Model 840 system available from International Business Machines Corporation. Such a system may support logical partitioning using an OS/400 operating system, which is also available from International Business Machines Corporation.

Those of ordinary skill in the art will appreciate that the hardware depicted in **FIG. 6** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

With reference now to **FIG. 7,** a block diagram of an exemplary logical partitioned platform is depicted in which the present invention may be implemented. The hardware in logical partitioned platform **700** may be implemented as, for example, data processing system **600** in **FIG. 6.** Logical partitioned platform **700** includes partitioned hardware **730,** operating systems **702, 704, 706, 708,** and hypervisor (trusted firmware) **710.** Operating systems **702, 704, 706,** and **708** may be multiple copies of a single operating system or multiple heterogeneous operating systems simultaneously run on platform **700.** These operating systems may be implemented using OS/400, which are designed to interface with a

hypervisor. Operating systems **702, 704, 706,** and **708** are located in partitions **703, 705, 707,** and **709.**

Additionally, these partitions also include firmware loaders **711, 713, 715,** and **717.** Firmware loaders **711, 713, 715,** and **717** may be implemented using IEEE-1275 Standard Open Firmware and runtime abstraction software (RTAS), which is available from International Business Machines Corporation. When partitions **703, 705, 707,** and **709** are instantiated, a copy of the open firmware is loaded into each partition by the hypervisor's partition manager. The processors associated or assigned to the partitions are then dispatched to the partition's memory to execute the partition firmware.

Partitioned hardware **730** includes a plurality of processors **732-738,** a plurality of system memory units **740-746,** a plurality of input/output (I/O) adapters **748-762,** and a storage unit **770.** Partitioned hardware **730** also includes service processor **790,** which may be used to provide various services, such as processing of errors in the partitions. Each of the processors **732-738,** memory units **740-746,** NVRAM storage **798,** and I/O adapters **748-762** may be assigned to one of multiple partitions within logical partitioned platform **700,** each of which corresponds to one of operating systems **702, 704, 706,** and **708.**

Partition management firmware (hypervisor) **710** performs a number of functions and services for partitions **703, 705, 707,** and **709** to create and enforce the partitioning of logical partitioned platform **700.** Hypervisor **710** is a firmware implemented virtual machine

identical to the underlying hardware. Hypervisor
software is available from International Business
Machines Corporation. Firmware is "software" stored in a
memory chip that holds its content without electrical
power, such as, for example, read-only memory (ROM),
programmable ROM (PROM), erasable programmable ROM
(EPROM), electrically erasable programmable ROM (EEPROM),
and nonvolatile random access memory (nonvolatile RAM).
Thus, hypervisor **710** allows the simultaneous execution of
independent OS images **702, 704, 706,** and **708** by
virtualizing all the hardware resources of logical
partitioned platform **700**.

Operations of the different partitions may be
controlled through a hardware management console, such as
console **764**. Console **764** is a separate data processing
system from which a system administrator may perform
various functions including reallocation of resources to
different partitions.

FIG. 8 is a diagram illustrating a deployment of a
preferred embodiment of the present invention. LPAR data
processing system **800** hosts a number of logical
partitions (LPARs) **802**. Each of LPARs **802** may support
one or more Infiniband queue pairs (QPs), such as QP **804**.
LPAR data processing system **800** also supports an
Infiniband physical host channel adapter (HCA) **810**, which
is shared among LPARs **802** and which interfaces LPAR data
processing system **800** to external switching fabric **814**.
HCA **810** supports its own set of QPs, including QP **812**.

Each LPAR **802** includes a logical HCA **816**, each
logical HCA **816** having a logical port **818** through which

the partitions interface with logical switch **820**. Logical
switch **820** includes a plurality of ports **822** for
interfacing with the logical partitions. Logical switch
820 also interfaces with physical port **824**, which itself
interfaces with external fabric **814**. Logical ports **818**
and logical switch **820** comprise the logical fabric **826**
shown between LPARs **802** and physical HCA **810**.

Hypervisor **806**, which is the supervisory firmware in
charge of managing LPARs **802**, also provides an interface
from HCA **810** to LPARs **802**. Hypervisor **806** supports a QP
808, which is used to relay packets between the LPARs **802**
and HCA **810**. In effect, the hypervisor and its QP **808**
perform the function of a switch's Multicast Forwarding
table **811**. In this preferred embodiment, hypervisor **806**
assumes some of the protocol handling responsibilities of
HCA **810** in particular situations in which multicast
packets are received for delivery to any of LPARs **802**.
The Hypervisor assumes some of the protocol checking
because it needs to handle these multicast packets on
behalf of multiple logical CAs/logical ports, thus the
flexibility of software is advantageous. HCA **810**
maintains a multicast table **811**, which contains
information on the various multicast groups to which LPAR
data processing system **800** may belong (or, more
specifically, to which any Logical Ports **818** of Logical
HCAs **816** may belong).

In particular, a preferred embodiment of the present
invention provides a number of mechanisms that, in
selected cases, transfer the responsibility for certain
protocol checking operations from HCA **810**'s hardware to

trusted firmware or operating system code, such as hypervisor **806**. At the same time, for packets not associated with multicast, the hardware retains the protocol checking responsibility, thus maintaining significantly better overall performance than if the total responsibility for protocol checking were transferred to firmware or software. Further, the invention provides hardware assists to improve the performance of the checking that is transferred to firmware of software code. A number of these features are listed below:

  a. A scalable hardware multicast table (e.g., multicast table **811**) that can be sized to fit hardware implementations, but that can also be extended to support more multicast groups by selectively employing hooks to hypervisor firmware (e.g., hypervisor **806**) or a trusted operating system.

  b. Means to override Source Logical Identifier (SLID) and Source Queue Pair Number (Source QP#), normally supplied by the hardware based upon the originator's identity, in a Work Queue Entry (WQE) to perform transparent packet replication and retransmission. This allows trusted code managing a QP to set the SLID and Source QP# of a packet to a value other than its own.

  c. An option to disable hardware Queue Key (Q_Key) checking to allow a QP to operate on multicast flows from more than one multicast group.

  d. Techniques that enable the checking of Partition Keys (P_Keys) against a variety of valid P_Keys or to disable P_Key checking.

Docket No. AUS920030190US1

Both allow a QP to operate on multicast flows
from more than one multicast group.

e. Detection of local recipients of multicast
packets supported by the same hardware.

f. The use of internal loopback data paths to
aid in packet replication and delivery of
multicast packets destined to recipients
supported by the adapter.

g. A 'Force Out' mechanism that disables
internal loopback checking and allows direct
transmission of a packet onto a fabric.

FIG. 9 is a diagram of an entry **900** in a host
channel adapter multicast table (e.g., multicast table
811) in accordance with a preferred embodiment of the
present invention. Each entry **900** contains two control
bits: a "valid" bit **902** that indicates whether the entry
is valid, and a "enable multicast range checking" bit
904. If bit **904** is set to 1, HCA hardware (HCA **810**) will
check to see if the DLID (Destination Local Identifier)
of received and transmitted packets falls within the
multicast address range for this protocol. If so, the
hardware will transfer responsibility for certain
requirements of the protocol to trusted firmware or
operating system code (e.g., hypervisor **806**). The
Multicast Group Identifier (MGID **906**) and Multicast Local
Identifier (MLID **908**) are the Infiniband address
components that uniquely define a Multicast Group. QP
number **910** holds the number of the QP that HCA **810**
associates with this multicast group. QP number **910** is
the QP that will receive incoming packets.

Docket No. AUS920030190US1

    HCA **810** uses multicast table **811** to deliver an
incoming packet to a QP based on associating the packet's
MLID and MGID to a valid table entry's MLID and MGID.
After performing all required transport checks, HCA **810**
delivers the incoming packet to the QP indicated by the
matching multicast group table entry (QP number **910**).
Hypervisor software is responsible for setting up the
table based on the appropriate Management Datagrams
(MADs) sent to the Logical Switch that instructs the
switch how to setup the switch's multicast forwarding
table. Multicast table **811** can be used in one of three
ways:

> 1. The QP represented by QP number **910** may be
> owned by trusted hypervisor code and serve
> multiple LPARs supported by logical HCAs with
> associated logical ports behind a single
> physical port.
>
> 2. The QP represented by QP number **910** may be
> owned by trusted operating system code and
> serve multiple applications in an LPAR.
>
> 3. The QP represented by QP number **910** may be
> directly owned by an application in a single
> LPAR.

Multicast table **811** can vary in size from a single entry
to a very large number of entries, depending only on
hardware size limitations of HCA **810.**

    To maintain a high degree of scalability, a
preferred embodiment of the present invention includes a
feature in which one entry of the table supports the
capability of setting "enable multicast range checking"
bit **904.** When "enable multicast range checking" bit **904**

Docket No.   AUS920030190US1

is set for an entry in multicast table **811**, HCA **810** will recognize any incoming multicast packet not present in other table entries (based on that packet's being in the multicast address range for the protocol, namely 0xC000-0xFFFE in the case of Infiniband) and routes the packet to the QP specified in QP number **910** for that entry.   In such a case, HCA **810** ignores the MGID **906** and MLID **908** fields.   The QP specified in this special table entry must be owned by trusted hypervisor code (i.e., hypervisor **806**).   Hypervisor **806** must first determine if any QPs supported by any Logical HCA/Logical Port on behalf of an LPAR, are members of the incoming packet's Multicast Group. If so, it then must perform any disabled transport level checks normally performed by HCA **810** (e.g., Q_key and/or P_key checking) to determine if the packet in question is a valid multicast packet destined for a recipient in LPAR data processing system **800**.   If not, the packet is silently dropped.

The application of techniques a.-g., described above, is now illustrated in flowchart form with respect to processes of receiving and transmitting, respectively, Infiniband multicast packets in a preferred embodiment of the present invention.   In both of these examples, we assume that multicast table **811** contains an entry in which "enable multicast range checking" bit **904** is set to 1.   We turn now to **FIG. 10,** a flowchart representation of a process of receiving a multicast packet from a system area network in accordance with a preferred embodiment of the present invention.   A packet is received by HCA **810** and placed in a virtual lane (VL) buffer (step **1002**).   If

Docket No. AUS920030190US1

the packet matches any of the entries in multicast table
811 (step **1004**: **yes**). Then the hardware transport checks
are performed (step **1005**). Then the packet is forwarded
to the QP specified in the matching entry or entries
(step **1006**). If not (step **1004:No**), then a determination
is made as to whether the local identifier (LID) for this
packet is within the protocol multicast range (step
1010). If not (step **1010:No**), then the packet is a
unicast packet and is handled through the normal unicast
reception process (step **1024**).

If the packet is a multicast packet, however (step
1010:Yes), HCA **810** performs some, but not all hardware
transport checks in the protocol (step **1012**).
Specifically, Q_key and P_key checking are bypassed at
this stage. Next, the packet is forwarded to a special
multicast QP maintained by hypervisor **806** (step **1014**).
Hypervisor **806**'s multicast QP then identifies the
appropriate logical HCA(s) of the recipient LPARs to
forward the packet to (step **1016**), and then completes the
bypassed transport checks (step **1018**). Hypervisor **806**
builds a work queue element (WQE), but overriding the
source local identifier (SLID) and source QP number
(which would normally be those of hypervisor **806**'s
multicast QP) with those of the true originator of the
packet to be forwarded (step **1020**). Hypervisor **806** then
unicasts the packet to the appropriate LPARs using an
internal loopback datapath to complete the process (step
1022).

FIG. 11 is a flowchart representation of a process
of transmitting a multicast packet over a system area

network in accordance with a preferred embodiment of the
present invention. A packet is queued for transmission
by HCA **810** (step **1102**). If the packet matches any
entries in multicast table **811** (step **1104:Yes**), then the
packet is forwarded by HCA **810** to the QPs specified by
the matching entry or entries (step **1106**). If not (step
1104:No), a determination is then made as to whether the
local identifier (LID) of the packet is in the multicast
range for the protocol (step **1110**). If the LID is not in
the multicast range for the protocol (step **1110:No**), then
the packet is intended for unicast transmission and the
normal unicast transmission process can be carried out by
HCA **810** (step **1126**).

If, however, the LID is in the multicast range (step
1110:Yes), then the packet is forwarded to hypervisor
806's multicast QP (step **1112**). Hypervisor **806**'s
multicast QP then identifies the appropriate logical
HCA(s) (if any) in the LPAR data processing system to
which the packet should be forwarded (step **1114**). A
"while" loop iterates over these local logical HCAs (step
1116). At each loop iteration (step **1116:Yes**),
hypervisor **806** builds a work queue element (WQE), but
overriding the source local identifier (SLID) and source
QP number (which would normally be those of hypervisor
806's multicast QP) with those of the true originator of
the packet to be forwarded (step **1118**). Hypervisor **806**
then unicasts the packet to the appropriate LPARs using
an internal loopback datapath (step **1120**).

After all local logical HCAs have been iterated over
(step **1116:No**), a new WQE is generated using the original

multicast DLID (destination local identifier) and GID (group identifier) and a special "Force Out" bit in the WQE is set to 1 (step **1122**). This packet is sent to HCA **810,** which interprets the "Force Out" bit to mean that the packet should be "forced out" onto network fabric **814** (step **1124**).

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions or other functional descriptive material and in a variety of other forms and that the present invention is equally applicable regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system. Functional descriptive material is information that imparts functionality to a machine. Functional descriptive material includes, but is not limited to, computer programs, instructions, rules,

Docket No.   AUS920030190US1

facts, definitions of computable functions, objects, and data structures.

The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.